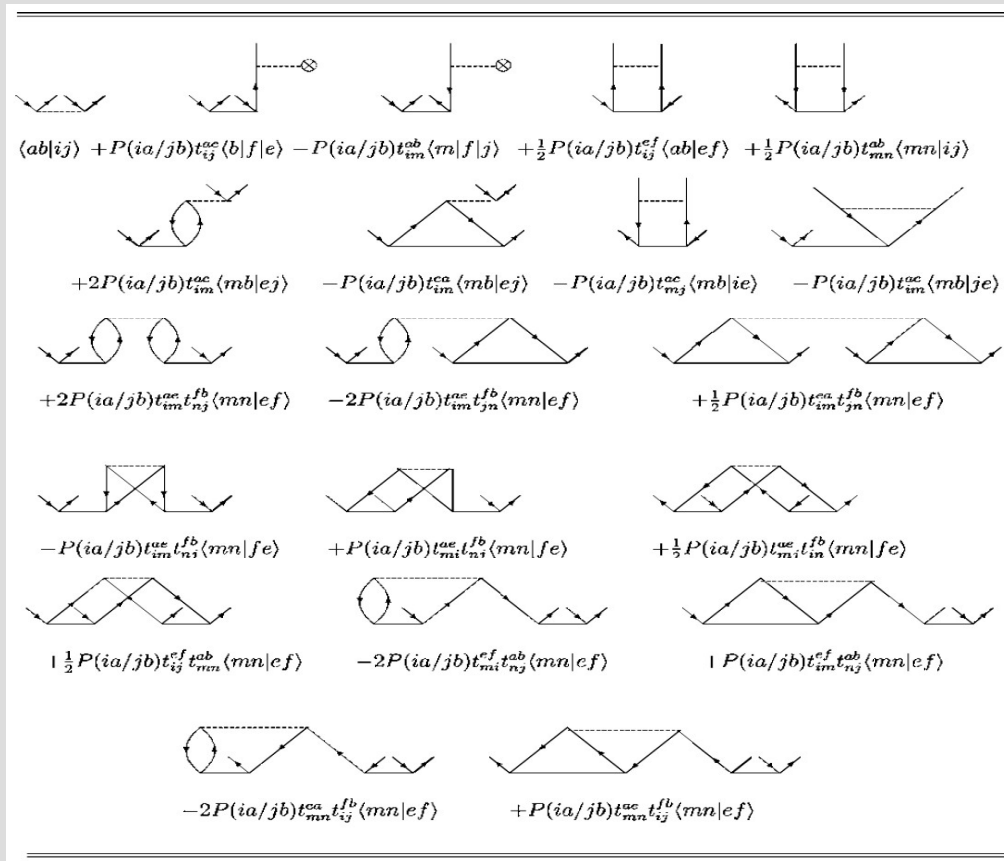


# The Coupled-Cluster (CC) Formalism for Atomic and Molecular Electronic Structures

$$|\Psi_{CC}\rangle = \exp(\hat{T})|\Phi_0\rangle$$

$$= (1 + \hat{T} + \hat{T}^2/2 + \hat{T}^3/3! + \dots)|\Phi_0\rangle$$

Arik Landau





WIKIPEDIA  
The Free Encyclopedia

[Main page](#)

Article [Talk](#)

## Coupled cluster

From Wikipedia, the free encyclopedia

**Coupled cluster (CC)** is a numerical technique

The method was initially developed by Fritz **Coester** and Hermann **Kümmel** in the 1950s for studying nuclear-physics phenomena, but became more frequently used when in 1966 Jiří **Čížek** (and later together with Josef **Paldus**) reformulated the method for electron correlation in atoms and molecules. It is now one of the most prevalent methods in quantum chemistry that includes electronic correlation.

## The life and work of Jiří Čížek

Ajit J. Thakkar

*Department of Chemistry, University of New Brunswick, Fredericton, NB E3B 5A3, Canada*

**Jiří Čížek** born in **Prague** on 24 August, 1938. Did his doctoral studies in 1961 at the Institute of Physical Chemistry of the Czechoslovak Academy of Sciences (csas), now the J. Heyrovský Institute.

In his doctoral dissertation (1965) **he proposed the use of a coupled-cluster methodology for the study of electron correlation effects in atoms and molecules.**

This fundamental work laid the ground work for many further developments in quantum chemistry, and was summarized in his famous 1966 Journal of Chemical Physics paper [1] and elaborated upon in his heavily-cited 1968 Advances in Chemical Physics article [2]. The former paper earned him a csas prize in the chemistry division. After the completion of his CSc, he obtained a position as **Junior Scientist at the Institute of Physical Chemistry in Prague in 1964.**

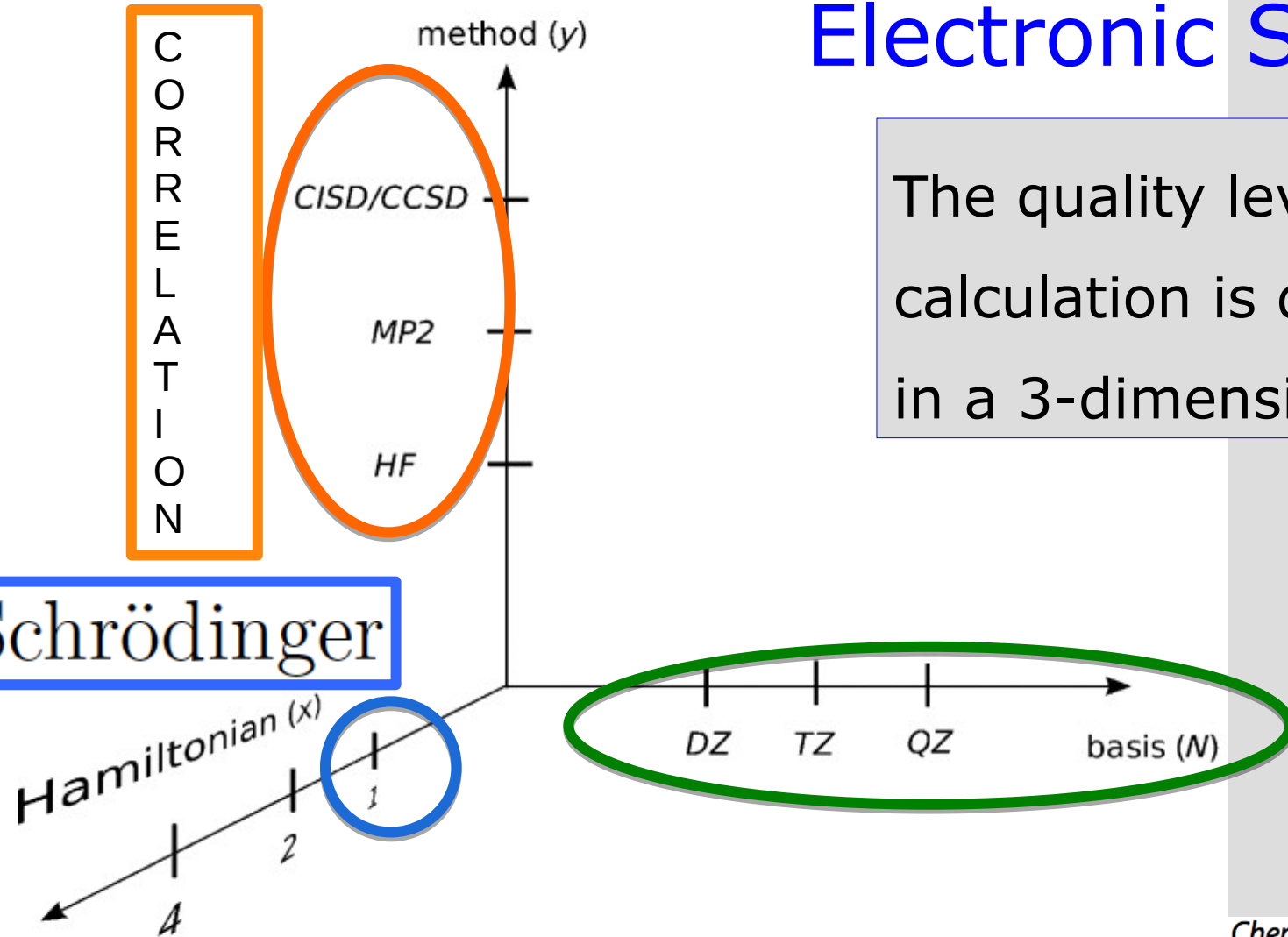
[1] J. Čížek, *J. Chem. Phys.* 45, 4256 (1966).

[2] J. Čížek, *Adv. Chem. Phys.* 14, 35 (1968).

# Electronic Structure

The quality level of a calculation is determined in a 3-dimensional space

Schrödinger



# Coupled Cluster with Singles Doubles and Perturbative Triples Excitations

*Comput Mol Sci* 2013, 3: 482–503

With CCSD(T) becoming the *gold standard* for quantum chemical calculations,<sup>126</sup>

126. Paldus J. QCI and related CC approaches: a retrospective. *Mol Phys* 2010, 108:2941–2950.

Machine learning  
and data science



Data reduction, singular-value decomposition,  
reduced density matrices, natural orbitals,  
response functions, correlators...



Finish

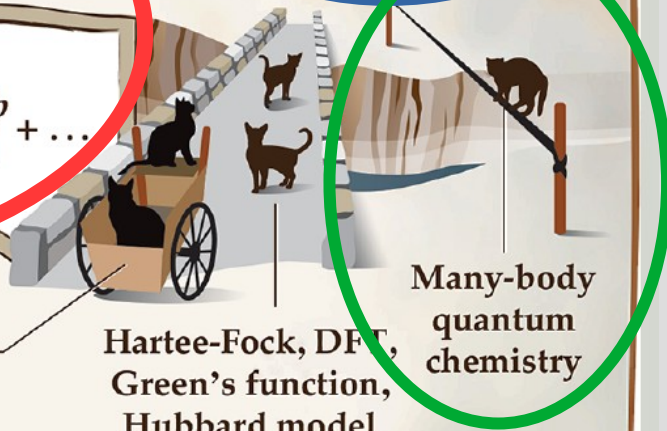
Physical  
properties and  
observables

Quantum  
computing



The exact many-body wavefunction gorge

$$\Psi = \phi_0 + \sum_{ia} c_i^a \phi_i^a + \frac{1}{4} \sum_{ijab} c_{ij}^{ab} \phi_{ij}^{ab} + \dots$$



Many-body  
quantum  
chemistry

Semiempirical  
models, DFTB,  
CINDO, MNDO, PM6,...

Hartee-Fock, DFT,  
Green's function,  
Hubbard model

Start

Electrons  
and nuclei:  
the exact  
Hamiltonian

# Full Configuration Interaction (FCI)

$$|\Psi\rangle = |\Phi_0\rangle + \sum_{ia} c_i^a |\Phi_i^a\rangle + \sum_{ijab} c_{ij}^{ab} |\Phi_{ij}^{ab}\rangle \dots$$

$$|\Psi_{FCI}\rangle = \sum_I^{all} C_I |\Phi_0\rangle = \sum_I^{all} c_I |\Phi_I\rangle$$

Operators

Coefficients

**FCI is the exact solution of the Schrödinger equation in a given one-electron basis set**

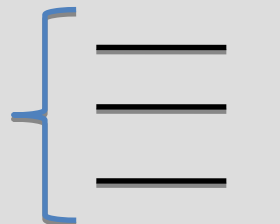
Hilbert space



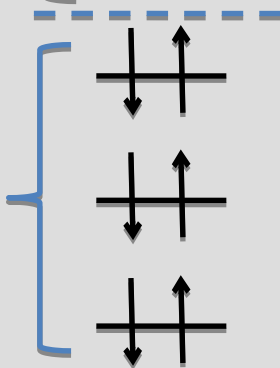
# Hilbert space

HF

Virts..  
 $a, b, \dots$



Occ..  
 $i, j, \dots$



$|\Phi_0\rangle$

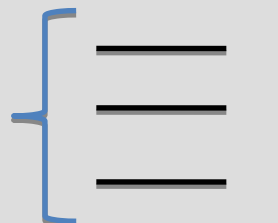
# Hilbert space

HF

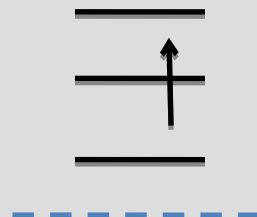
Excited determinants...

Virts..  
 $a, b, \dots$

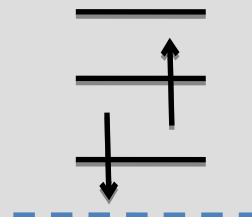
Occ..  
 $i, j, \dots$



$|\Phi_0\rangle$



$|\Phi_i^a\rangle$



$|\Phi_{ij}^{ab}\rangle$

.....

.....

Machine learning  
and data science



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reduced density matrices, natural orbitals,  
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Finish

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Many-body  
quantum  
chemistry

Semiempirical  
models, DFTB,  
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Hartee-Fock, DFT,  
Green's function,  
Hubbard model

Start

Electrons  
and nuclei:  
the exact  
Hamiltonian

# The Many-Body Hamiltonian

Many electrons atoms

$$H = -\frac{\hbar^2}{2m_e} \sum_{i=1}^n \nabla_i^2 - \sum_{i=1}^n \frac{Ze^2}{r_i} + \sum_{i=1}^{n-1} \sum_{j>i}^n \frac{e^2}{r_{ij}}$$

Kinetic

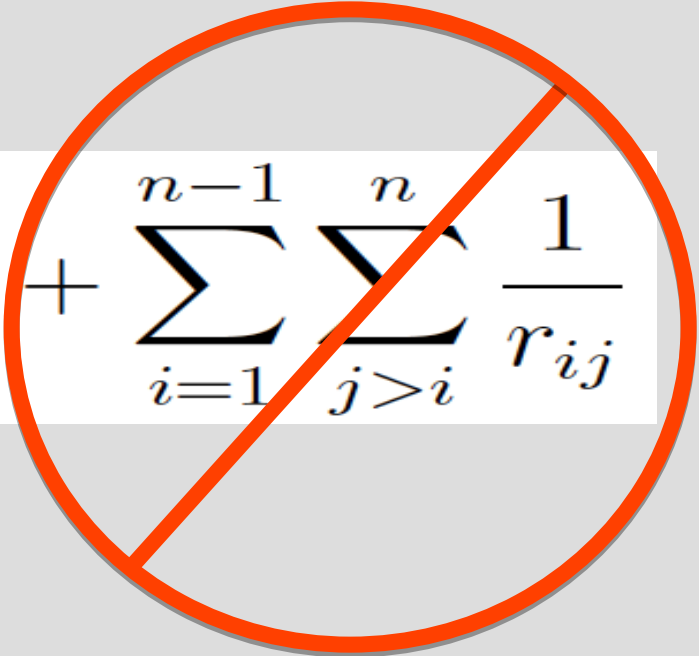
Coulomb  
attraction

Coulomb  
repulsion

# The Many-Body Hamiltonian

Many electrons atoms

$$e^2 = \hbar = m = 1$$

$$H = -\frac{1}{2} \sum_{i=1}^n \nabla_i^2 - \sum_{i=1}^n \frac{Z}{r_i} + \sum_{i=1}^{n-1} \sum_{j>i}^n \frac{1}{r_{ij}}$$


# The (three-days course) Outline

1. Hartree Fock, Second Quantization, Normal Form, Goldstone Diagrams  
and writing the Many-Body Hamiltonian with Diagrams
2. Perturbation Theory, Linked-Diagram Theorem, Coupled Cluster (CC)  
for Closed-Shell Systems
3. CC in Fock-Space for Open-Shell Systems (IP, EA, EE... states)
4. Electronic Resonance (Autoionization) States in Chemistry

I. Lindgren J. Morrison

# Atomic Many-Body Theory

Part II

**Perturbation Theory and the Treatment  
of Atomic Many-Body Effects**

## 9.1 Basic Problem

The basic problem in nonrelativistic atomic theory is to find an approximate solution of the time-independent Schrödinger equation

$$H\Psi = E\Psi \tag{9.1}$$

In the *perturbation* approach the Hamiltonian of the system ( $H$ ) is split into two parts, a *model Hamiltonian* ( $H_0$ ) and a *perturbation* ( $V$ )

$$H = H_0 + V. \tag{9.2}$$



## 9.1 Basic Problem

In the *perturbation* approach the Hamiltonian of the system ( $H$ ) is split into two parts, a *model Hamiltonian* ( $H_0$ ) and a *perturbation* ( $V$ )

$$H = H_0 + V. \quad (9.2)$$

The model Hamiltonian should be a reasonable approximation to the full Hamiltonian and it should be simple enough to manage. For atoms, the natural choice of  $H_0$  is some central-field approximation, such as the Hartree-Fock model, and it is, in fact, the presence of a natural first approximation which makes perturbation theory such a promising method for atoms.

# Hartree-Fock

Hartree-Fock  
equation

$$\left( -\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} + \sum_j^{n/2} (2J_j - K_j) \right) \phi_i = \epsilon_i \phi_i$$

Fock  
operator

$$F \phi_i = \epsilon_i \phi_i$$

Coulomb  
operator

$$J_j(r_1) \phi_i(r_1) = \phi_i(r_1) \int |\phi_j(r_2)|^2 \frac{1}{r_{12}} dv_2$$

Exchange  
operator

$$K_j(r_1) \phi_i(r_1) = \phi_j(r_1) \int \phi_j^*(r_2) \phi_i(r_2) \frac{1}{r_{12}} dv_2$$

# Restricted Hartree Fock

Closed-shells  
(equal spins  
up and down)

$$E_{HF} = \langle D | H | D \rangle =$$

$$= 2 \sum_i^{n/2} \epsilon_i - \sum_{i=1}^{n/2} \sum_{j=1}^{n/2} (2J_{ij} - K_{ij})$$

Coulomb  
integral

$$J_{ij} = \langle \phi_i(r_1) \phi_j(r_2) \left| \frac{1}{r_{12}} \right| \phi_i(r_1) \phi_j(r_2) \rangle$$

Exchange  
integral

$$K_{ij} = \langle \phi_i(r_1) \phi_j(r_2) \left| \frac{1}{r_{12}} \right| \phi_j(r_1) \phi_i(r_2) \rangle$$

# Hartree-Fock

The total wave function of  $n$  identical **fermions**

$$|D\rangle = \frac{1}{\sqrt{n!}} \begin{vmatrix} \phi_1(r_1) & \phi_2(r_1) & \cdots & \phi_n(r_1) \\ \phi_1(r_2) & \phi_2(r_2) & \cdots & \phi_n(r_2) \\ \vdots & \vdots & \ddots & \vdots \\ \phi_1(r_n) & \phi_2(r_n) & \cdots & \phi_n(r_n) \end{vmatrix}$$

- The total wave function for  $n$  identical fermions is **anti-symmetric** with respect to exchange of the particles.
- This is a rigorous statement of the **Pauli exclusion principle**

**But we are after the a FCI like approximation**

$$|\Psi\rangle = |\Phi_0\rangle + \sum_{ia} c_i^a |\Phi_i^a\rangle + \sum_{ijab} c_{ij}^{ab} |\Phi_{ij}^{ab}\rangle \dots$$

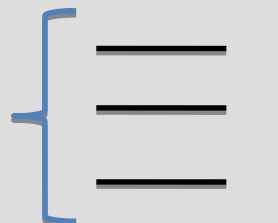
# Hilbert space

HF

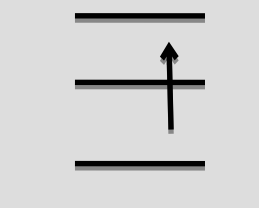
Excited determinants...

Virts..  
 $a, b, \dots$

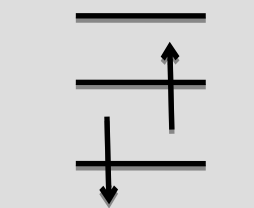
Occ..  
 $i, j, \dots$



$|\Phi_0\rangle$



$|\Phi_i^a\rangle$



$|\Phi_{ij}^{ab}\rangle$

.....

.....

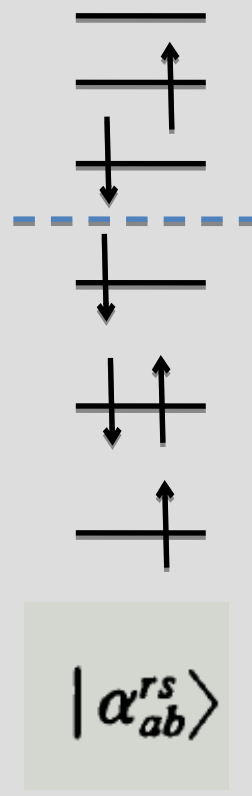
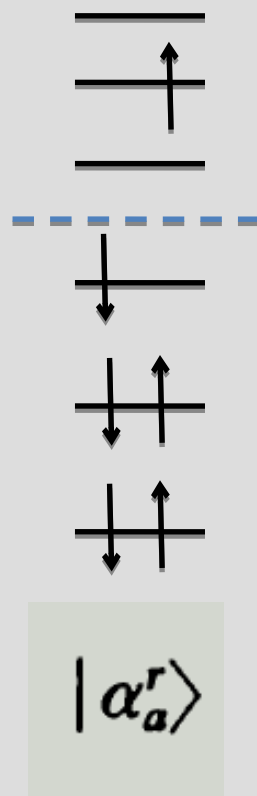
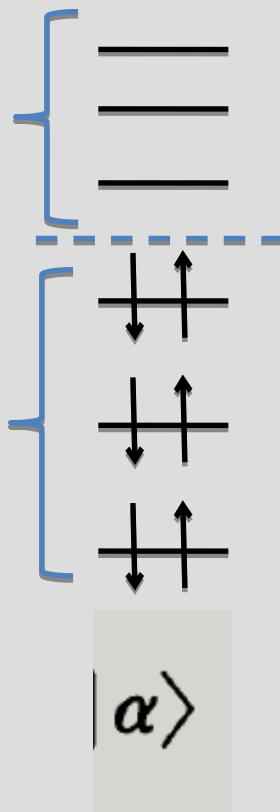
# Hilbert space

HF

Excited determinants...

Virts..  
 $r, s, t...$

Occ.  
 $a, b, c...$



.....

.....

## Second Quantization and the Particle-Hole Formalism

Intuitively, we know that the state  $|\alpha_a^r\rangle$  is related to the state  $|\alpha\rangle$  in a simple way, but it is not possible to express this relation easily with the formalism we have been using.

the idea of second quantization is to express the operators in terms of elementary (single, double, ...) excitations.



## Second Quantization

A single-particle state  $\varphi_i$  is represented in the formalism of second quantization as an operator  $a_i^\dagger$  acting on the *vacuum state*  $|0\rangle$

$$|\varphi_i\rangle \equiv a_i^\dagger |0\rangle .$$

Since the operator  $a_i^\dagger$  produces the state  $|\varphi_i\rangle$  called a *creation operator*.

---

**Hartree-Fock**

$$|\alpha\rangle = |\varphi_i \varphi_j \dots\rangle = a_i^\dagger a_j^\dagger \dots |0\rangle$$

## Second Quantization

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Since the operator  $a_i^\dagger$  produces the state  $|\varphi_i\rangle$  called a *creation operator*.

---

the hermitian adjoint

$$\langle 0|a_i \equiv \langle \varphi_i| .$$

an *absorption, destruction* or *annihilation operator*.

# Second Quantization and the Particle-Hole Formalism

anticommutation relations.

Since a determinant changes sign  
if two rows or columns are interchanged,

$$a_i^\dagger a_j^\dagger = -a_j^\dagger a_i^\dagger$$

$$a_i a_j = -a_j a_i$$

$$\begin{cases} \{a_i^\dagger, a_j^\dagger\} = 0 \\ \{a_i, a_j\} = 0 \\ \{a_i, a_j^\dagger\} = \delta_{ij} \end{cases} .$$

The orthonormality of the determinantal product states  
imposes further conditions on these operators.

## Second Quantization and the Particle-Hole Formalism

In applying the formalism of second quantization to perturbation theory, we shall normally use a Slater determinant (10.7)

$$|\alpha\rangle = |\varphi_i \varphi_j \cdots\rangle = a_i^\dagger a_j^\dagger \cdots |0\rangle \quad (11.14)$$

# Second Quantization and the Particle-Hole Formalism

In applying the formalism of second quantization to perturbation theory, we shall normally use a Slater determinant (10.7)

$$|\alpha\rangle = |\varphi_i \varphi_j \dots\rangle = a_i^\dagger a_j^\dagger \dots |0\rangle \quad (11.14)$$

a Hartree-Fock determinant. Orbitals appearing in this determinant are referred to as *core* orbitals and the remaining, unoccupied ones as *virtual* orbitals. When operating on the reference state, it is not possible to create a particle in a core orbital or to absorb a particle from an virtual orbital. This means that

$$a_{\text{core}}^\dagger |\alpha\rangle = a_{\text{virt}} |\alpha\rangle = 0.$$

Notice that the vacuum is changed from  $|0\rangle$  to  $|\alpha\rangle$

(11.15)

# Second Quantization and the Particle-Hole Formalism

$$a_{\text{core}}^\dagger |\alpha\rangle = a_{\text{virt}} |\alpha\rangle = 0. \quad (11.15)$$

Denoting, as before, core orbitals by  $a, b, \dots$  and virtual orbitals by  $r, s, \dots$ , the states  $|\alpha_a^r\rangle$ ,  $|\alpha_{ab}^{rs}\rangle$ , for which one or two electrons are excited, can be expressed

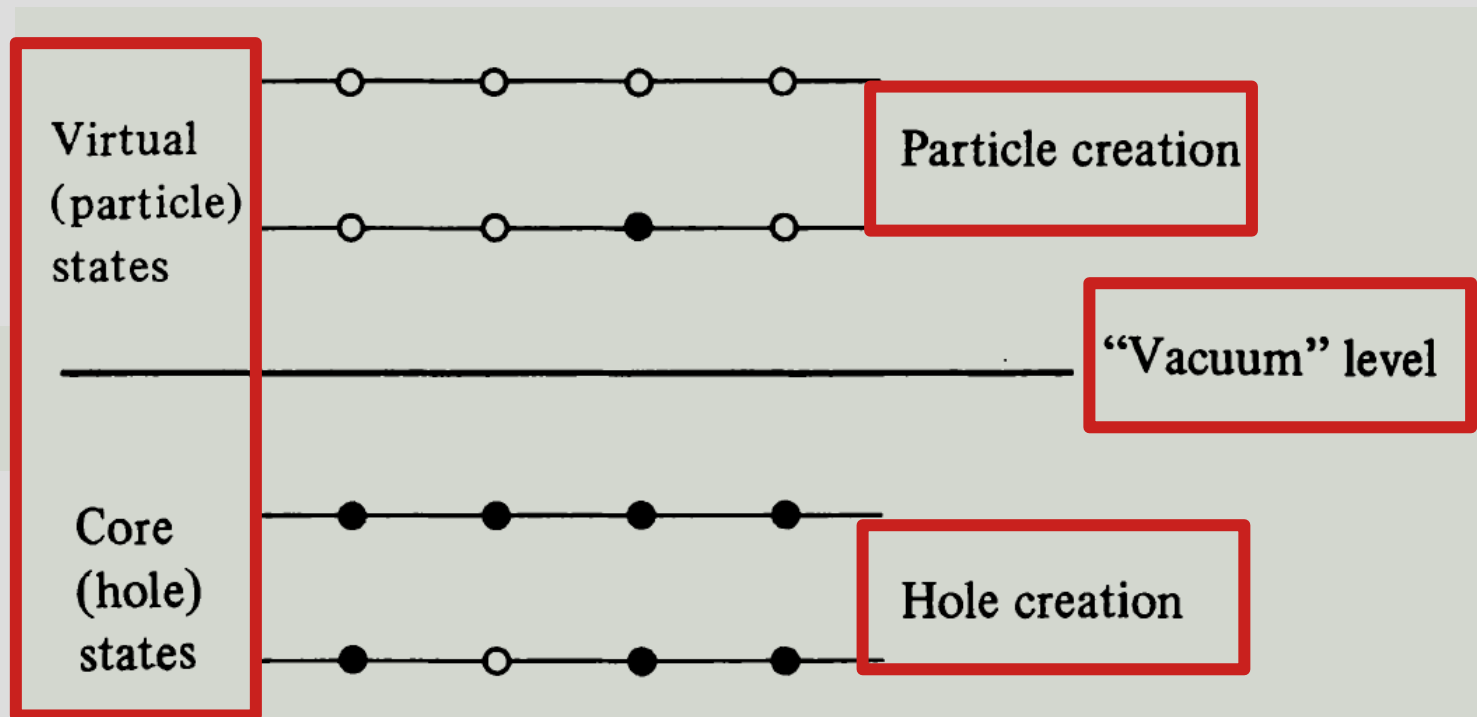
$$|\alpha_a^r\rangle = a_r^\dagger a_a |\alpha\rangle \quad (11.16a)$$

$$|\alpha_{ab}^{rs}\rangle = a_r^\dagger a_s^\dagger a_b a_a |\alpha\rangle. \quad (11.16b)$$

An intuitive relation between HF  
and the excited determinants

# Second Quantization and the Particle-Hole Formalism

$$|\alpha_a^r\rangle = a_r^\dagger a_a |\alpha\rangle$$



## Many-Body Hamiltonian

$$H = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 - \sum_{i=1}^N \frac{Z}{r_i} + \sum_{i < j}^N \frac{1}{r_{ij}}$$



## Many-Body Hamiltonian

$$H = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 - \sum_{i=1}^N \frac{Z}{r_i} + \sum_{i < j}^N \frac{1}{r_{ij}}$$

$$H = H_0 + V$$

## Many-Body Hamiltonian

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$$H = H_0 + V$$

$$H_0 = \sum_{i=1}^N \left[ -\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} + u(r_i) \right] = \sum_{i=1}^N h_0(i)$$

# Many-Body Hamiltonian

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$J$  (Coulomb)  
and  
 $K$  (exchange)

# Many-Body Hamiltonian

$$H = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 - \sum_{i=1}^N \frac{Z}{r_i} + \sum_{i < j}^N \frac{1}{r_{ij}}$$

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The perturbation

$$V = H - H_0$$

$J$  (Coulomb)  
and  
 $K$  (exchange)

# Many-Body Hamiltonian

$$H = -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 - \sum_{i=1}^N \frac{Z}{r_i} + \sum_{i < j}^N \frac{1}{r_{ij}}$$

$$H = H_0 + V$$

$$H_0 = \sum_{i=1}^N \left[ -\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} + u(r_i) \right] = \sum_{i=1}^N h_0(i)$$

J and K

The perturbation

$$V = H - H_0 = \sum_{i < j}^N \frac{1}{r_{ij}} - \sum_{i=1}^N u(r_i)$$

The zeroth-order Hamiltonian  $H_0$ ,

$$H_0 = \sum_{i=1}^N h_0(i) = \sum_i a_i^\dagger a_i \varepsilon_i$$

$$h_0 |i\rangle = \varepsilon_i |i\rangle$$

The ideas applied above can easily  
be extended to two-body operators.

## Many-Body Hamiltonian

$$H_0 = \sum_{i=1}^N h_0(i) = \sum_i a_i^\dagger a_i \varepsilon_i$$

$$V = - \sum_{i=1}^N u_i + \sum_{i < j}^N r_{ij}^{-1}$$

$$= - \sum_{ij} a_i^\dagger a_j \langle i | u | j \rangle + \frac{1}{2} \sum_{ijkl} a_i^\dagger a_j^\dagger a_l a_k \langle ij | r_{12}^{-1} | kl \rangle$$

# **Second Quantization and the Particle-Hole Formalism**

## **11.2 Operators in Normal Form**

As we have mentioned, the idea of second quantization is to express the operators in terms of elementary (single, double, ...) excitations. We can easily see,



# Second Quantization and the Particle-Hole Formalism

## 11.2 Operators in Normal Form

As we have mentioned, the idea of second quantization is to express the operators in terms of elementary (single, double, ...) excitations. We can easily see, however, that the operators (11.25) do not always have such a simple interpretation.

As an illustration, we consider the one-body operator

$$F = \sum_{ij} a_i^\dagger a_j \langle i | f | j \rangle . \quad (11.27)$$

As before, we choose a particular Slater determinant  $|\alpha\rangle$  as the reference and define core and virtual orbitals with respect to this state. If  $i(=a)$  in (11.27)

# Operators in Normal Form

As an illustration, we consider the one-body operator

$$F = \sum_{ij} a_i^\dagger a_j \langle i | f | j \rangle . \quad \text{if: } i = a, \quad a_{\text{core}}^\dagger | \alpha \rangle = a_{\text{virt}} | \alpha \rangle = 0 .$$

rewrite the operators by means of the

anticommutation rules  $a_a^\dagger a_j = -a_j a_a^\dagger + \delta_{aj}$

the first term on the right-hand side does not contribute

while the last term reproduces  $| \alpha \rangle$  for  $j = a$ .

So in this case  $a_i^\dagger a_j$

does not represent any excitation at all.

# Operators in Normal Form

In order to see in a more systematic way which terms do correspond to real excitations when operating on  $|\alpha\rangle$ , we shall rearrange the creation and absorption operators so that

$a_{\text{core}}^\dagger$  and  $a_{\text{virt}}$

*appear to the right of*

$a_{\text{core}}$  and  $a_{\text{virt}}^\dagger$

$$\{a_i^\dagger, a_j^\dagger\} = 0$$

$$\{a_i, a_j\} = 0$$

$$\{a_i, a_j^\dagger\} = \delta_{ij}$$

$$a_{\text{core}}^\dagger |\alpha\rangle = a_{\text{virt}} |\alpha\rangle = 0.$$

## Operators in Normal Form

$a_{\text{core}}^\dagger$  and  $a_{\text{virt}}$  appear to the right of  $a_{\text{core}}$  and  $a_{\text{virt}}^\dagger$

The terms which contain the former operators will then give zero when operating on  $|\alpha\rangle$ ,

while the remaining ones will produce real excitations.

operator written in this way is said to be in *normal order* or in *normal form*.

## Many-Body Hamiltonian

$$H_0 = \sum_{i=1}^N h_0(i) = \sum_i a_i^\dagger a_i \varepsilon_i$$

$$V = - \sum_{i=1}^N u_i + \sum_{i < j}^N r_{ij}^{-1}$$

$$= - \sum_{ij} a_i^\dagger a_j \langle i | u | j \rangle + \frac{1}{2} \sum_{ijkl} a_i^\dagger a_j^\dagger a_l a_k \langle ij | r_{12}^{-1} | kl \rangle$$

We consider first the one-body part of the perturbation

$$-U = \sum_{ij} a_i^\dagger a_j \langle i | -u | j \rangle$$

# Operators in Normal Form

$$-U = \sum_{ij} a_i^\dagger a_j \langle i | -u | j \rangle$$

# Operators in Normal Form

$$\begin{aligned} -U &= \sum_{ij} a_i^\dagger a_j \langle i | -u | j \rangle \\ &= \sum_a^{\text{core}} \langle a | -u | a \rangle + \sum_{ij} \{a_i^\dagger a_j\} \langle i | -u | j \rangle . \end{aligned}$$

Wick's theorem can now be formulated as follows:

*If  $A$  is a product of creation and absorption operators, then*

$$\boxed{A = \{A\} + \{\overline{A}\}}, \quad (11.49)$$

*where  $\{A\}$  represents the normal form of  $A$  and  $\{\overline{A}\}$  represents the sum of the normal-ordered terms obtained by making all possible single, double, ... contractions within  $A$ .*

# Operators in Normal Form

$$\begin{aligned} -U &= \sum_{ij} a_i^\dagger a_j \langle i | -u | j \rangle \\ &= \sum_a^{\text{core}} \langle a | -u | a \rangle + \sum_{ij} \{a_i^\dagger a_j\} \langle i | -u | j \rangle . \end{aligned}$$

first sum is a number—or a zero-body operator—while the second sum represents a normal-ordered, one-body operator. each operator in the second summation either gives zero or produces a determinantal state of the form  $|\alpha_a^r\rangle$



# Operators in Normal Form

$$H_0 = \sum_{i=1}^N h_0(i) = \sum_i a_i^\dagger a_i \varepsilon_i$$

$$V = - \sum_{i=1}^N u_i + \sum_{i < j}^N r_{ij}^{-1}$$

$$= - \sum_{ij} a_i^\dagger a_j \langle i | u | j \rangle + \frac{1}{2} \sum_{ijkl} a_i^\dagger a_j^\dagger a_l a_k \langle ij | r_{12}^{-1} | kl \rangle$$

The second term of the perturbation

$$C = \frac{1}{2} \sum_{ijkl} a_i^\dagger a_j^\dagger a_l a_k \langle ij | r_{12}^{-1} | kl \rangle$$

# Operators in Normal Form

$$C = \frac{1}{2} \sum_{ijkl} a_i^\dagger a_j^\dagger a_l a_k \langle ij | r_{12}^{-1} | kl \rangle$$

*normal order or in normal form.*

$$\begin{aligned} C &= \frac{1}{2} \sum_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} \langle ij | r_{12}^{-1} | kl \rangle \\ &+ \sum_{ij} \{a_i^\dagger a_j\} \sum_a (\langle ia | r_{12}^{-1} | ja \rangle - \langle ai | r_{12}^{-1} | ja \rangle) \\ &+ \frac{1}{2} \sum_{ab} (\langle ab | r_{12}^{-1} | ab \rangle - \langle ba | r_{12}^{-1} | ab \rangle). \end{aligned}$$

# Operators in Normal Form

The perturbation may thus be separated into the following normal-ordered zero-, one- and two-body parts:

$$V = V_0 + V_1 + V_2$$

# Operators in Normal Form

The perturbation may thus be separated into the following normal-ordered zero-, one- and two-body parts:

$$V = V_0 + V_1 + V_2$$

$$V_0 = \sum_a^{\text{core}} \langle a | -u | a \rangle + \frac{1}{2} \sum_{ab}^{\text{core}} (\langle ab | r_{12}^{-1} | ab \rangle - \langle ba | r_{12}^{-1} | ab \rangle)$$

$$V_1 = \sum_{ij} \{a_i^\dagger a_j\} \langle i | v | j \rangle$$

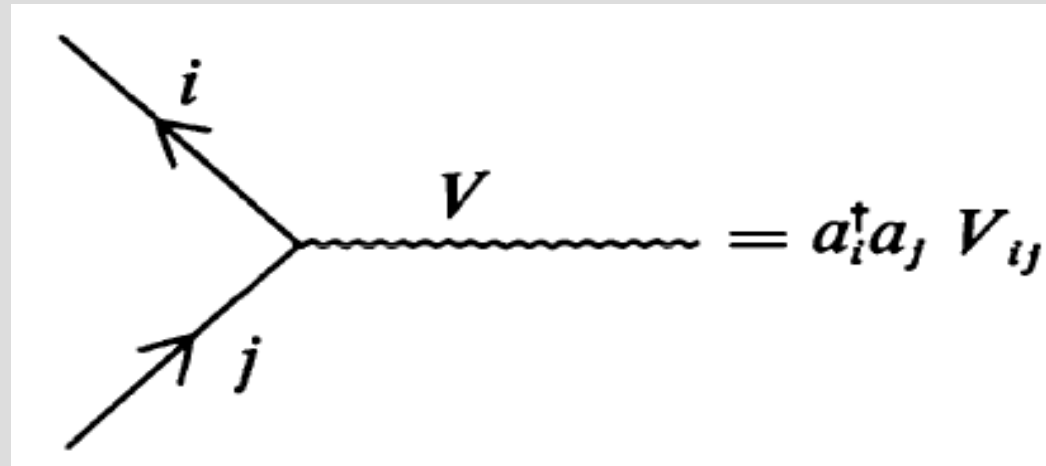
$$V_2 = \frac{1}{2} \sum_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} \langle ij | r_{12}^{-1} | kl \rangle .$$

## Graphical representation of a one-body

The meaning of the formalism of second quantization

can be illustrated by

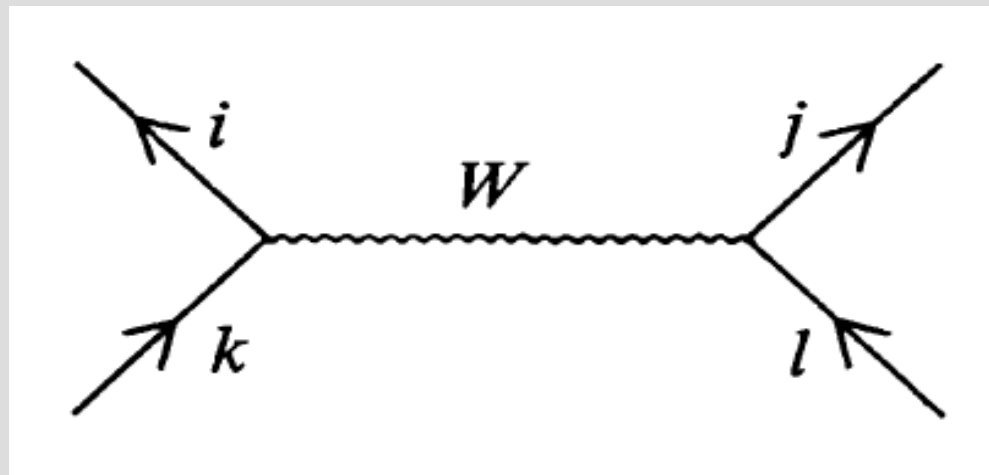
means of the simple scattering process



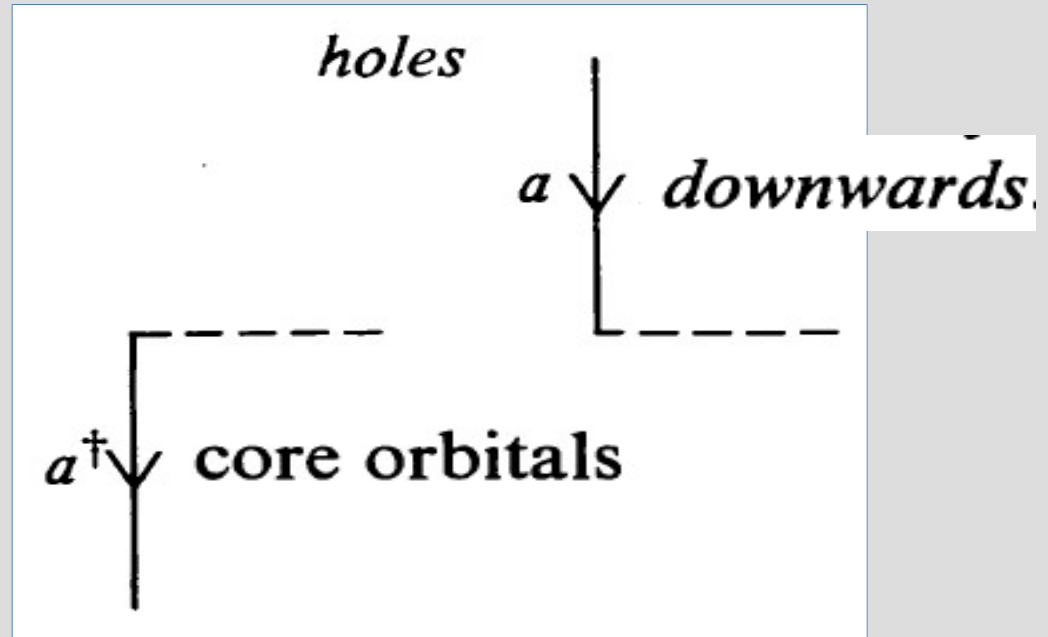
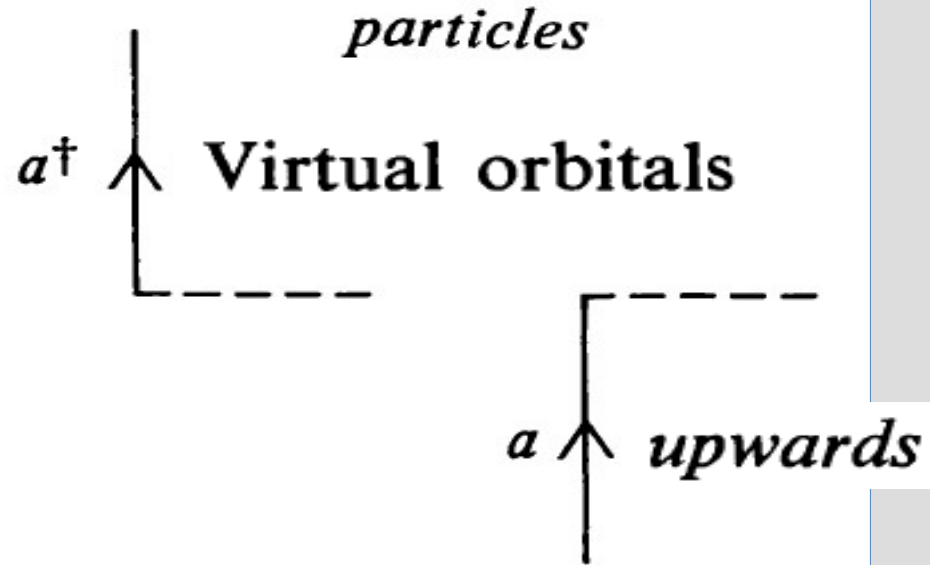
## Graphical representation of a two-body interaction

$$a_i^\dagger a_j^\dagger a_l a_k W_{ijkl}$$

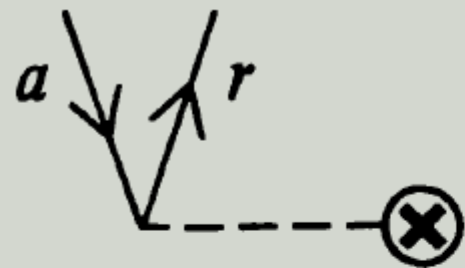
interaction by means of the scattering process



# Graphical Representation of Normal-Ordered Operators



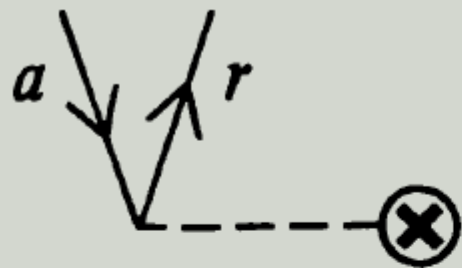
## Graphical Representation of Normal-Ordered Operators



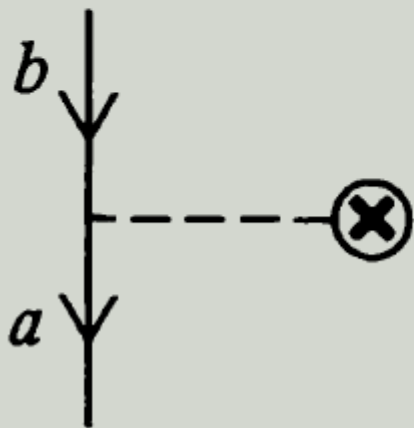
$$= \{a_r^\dagger a_a\} \langle r | v | a \rangle = a_r^\dagger a_a \langle r | v | a \rangle$$



# Graphical Representation of Normal-Ordered Operators



$$= \{a_r^\dagger a_a\} \langle r | v | a \rangle = a_r^\dagger a_a \langle r | v | a \rangle$$



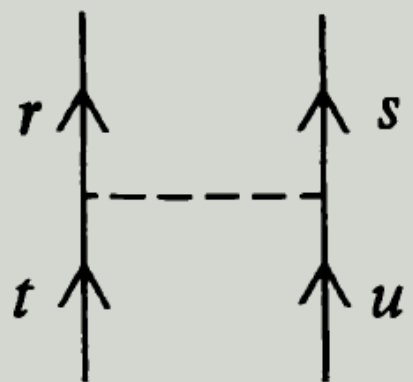
$$= \{a_a^\dagger a_b\} \langle a | v | b \rangle = -a_b a_a^\dagger \langle a | v | b \rangle .$$

# Graphical Representation of Normal-Ordered Operators

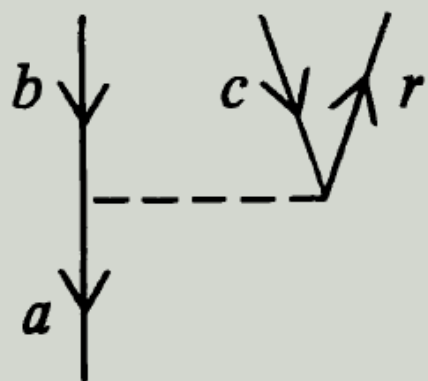
$$\begin{array}{c}
 \begin{array}{c} r \\ \uparrow \\ \text{---} \\ \uparrow \\ t \end{array}
 \end{array}
 \begin{array}{c}
 \begin{array}{c} s \\ \uparrow \\ \text{---} \\ \uparrow \\ u \end{array}
 \end{array}
 = \frac{1}{2} \{a_r^\dagger a_s^\dagger a_u a_r\} \langle rs | r_{12}^{-1} | tu \rangle$$

$$= \frac{1}{2} a_r^\dagger a_s^\dagger a_u a_r \langle rs | r_{12}^{-1} | tu \rangle$$

# Graphical Representation of Normal-Ordered Operators



$$\begin{aligned}
 &= \frac{1}{2} \{a_r^\dagger a_s^\dagger a_u a_t\} \langle rs | r_{12}^{-1} | tu \rangle \\
 &= \frac{1}{2} a_r^\dagger a_s^\dagger a_u a_t \langle rs | r_{12}^{-1} | tu \rangle
 \end{aligned}$$



$$\begin{aligned}
 &= \frac{1}{2} \{a_a^\dagger a_r^\dagger a_c a_b\} \langle ar | r_{12}^{-1} | bc \rangle \\
 &= -\frac{1}{2} a_r^\dagger a_c a_b a_a^\dagger \langle ar | r_{12}^{-1} | bc \rangle
 \end{aligned}$$

# Graphical Representation

The Goldstone rules can be formulated as follows:

For each wave-operator diagram there is

- a) a creation (absorption) operator for each free outgoing (incoming) orbital line. These are written in normal form

$$\{a_i^\dagger a_k^\dagger a_l^\dagger \dots a_k a_j a_{l'}\};$$

where  $(a_i^\dagger a_{l'})$ , etc., originate from the same vertex or from vertices connected by orbital lines;

- b) a matrix element for each interaction line;  
c) an energy denominator for each interaction line

$$D = \sum (\varepsilon_{\text{down}} - \varepsilon_{\text{up}}),$$

single-particle eigenvalue associated with the down-out by a line immediately above the interaction line; internal orbital lines;

# The Goldstone Evaluation Rules

$$(-1)^{h+l},$$

where  $h$  is the number of internal core (hole) lines and  $l$  the number of closed loops of orbital lines;

- f) a factor of  $1/2$  for each two-particle interaction and an equivalence factor equal to the number of equivalent diagrams represented by the diagram considered.

The rules above hold also for the energy diagrams, except that rule a) does not apply, and there is

- g) no energy denominator associated with the last interaction.

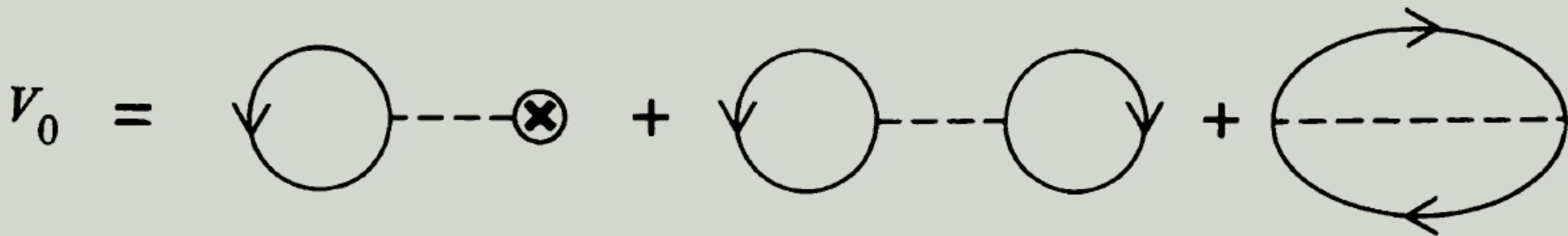
# Graphical Representation of Normal-Ordered Operators

$$V = V_0 + V_1 + V_2$$

$$V_0 = \sum_a^{\text{core}} \langle a | -u | a \rangle + \frac{1}{2} \sum_{ab}^{\text{core}} (\langle ab | r_{12}^{-1} | ab \rangle - \langle ba | r_{12}^{-1} | ab \rangle)$$

$$V_1 = \sum_{ij} \{a_i^\dagger a_j\} \langle i | v | j \rangle$$

$$V_2 = \frac{1}{2} \sum_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} \langle ij | r_{12}^{-1} | kl \rangle .$$



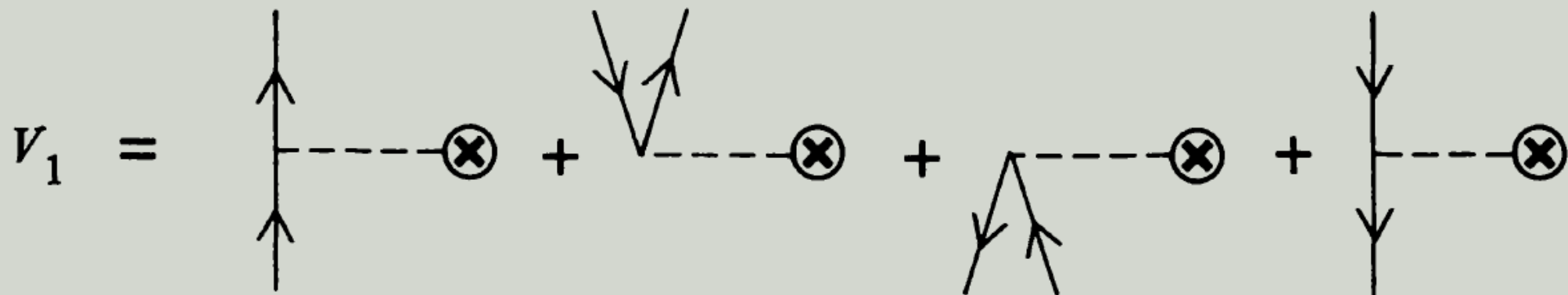
# Graphical Representation of Normal-Ordered Operators

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$$V_1 = \sum_{ij} \{a_i^\dagger a_j\} \langle i | v | j \rangle$$

$$V_2 = \frac{1}{2} \sum_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} \langle ij | r_{12}^{-1} | kl \rangle$$



# Graphical Representation of Normal-Ordered Operators

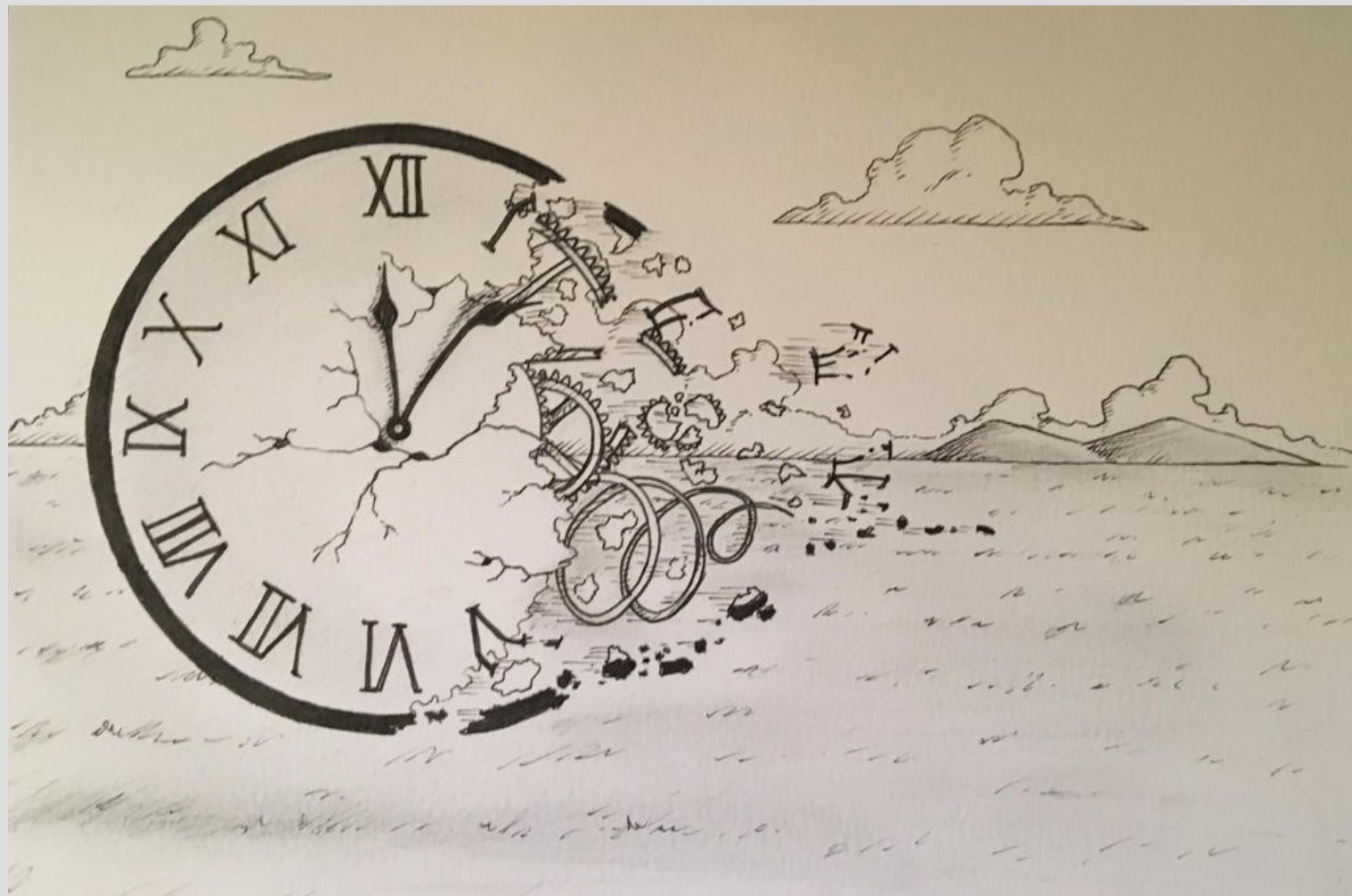
$$V_2 = \begin{array}{c} \uparrow \\ | \\ \uparrow \\ | \\ \uparrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \uparrow \\ | \\ \uparrow \end{array} + \begin{array}{c} \swarrow \uparrow \\ | \\ \uparrow \\ | \\ \uparrow \end{array} + \begin{array}{c} \uparrow \\ | \\ \uparrow \\ | \\ \swarrow \uparrow \end{array} + \boxed{\begin{array}{c} \swarrow \uparrow \quad \swarrow \uparrow \\ | \\ \uparrow \\ | \\ \uparrow \end{array}}$$

Topological  
equivalent

$$+ \boxed{\begin{array}{c} \swarrow \uparrow \quad \uparrow \\ | \\ \uparrow \\ | \\ \uparrow \end{array}} + \begin{array}{c} \downarrow \\ | \\ \downarrow \\ | \\ \uparrow \end{array} - \begin{array}{c} \downarrow \\ | \\ \downarrow \\ | \\ \uparrow \end{array} + \begin{array}{c} \swarrow \uparrow \quad \swarrow \uparrow \\ | \\ \downarrow \\ | \\ \uparrow \end{array} + \begin{array}{c} \downarrow \\ | \\ \downarrow \\ | \\ \swarrow \uparrow \end{array}$$

$$+ \begin{array}{c} \uparrow \\ | \\ \uparrow \\ | \\ \swarrow \uparrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \uparrow \\ | \\ \downarrow \end{array} + \begin{array}{c} \swarrow \uparrow \quad \swarrow \uparrow \\ | \\ \uparrow \\ | \\ \downarrow \end{array} + \begin{array}{c} \swarrow \uparrow \quad \downarrow \\ | \\ \uparrow \\ | \\ \downarrow \end{array}$$

$$+ \boxed{\begin{array}{c} \swarrow \uparrow \quad \swarrow \uparrow \\ | \\ \downarrow \\ | \\ \downarrow \end{array}} - \begin{array}{c} \downarrow \\ | \\ \downarrow \\ | \\ \swarrow \uparrow \end{array} + \begin{array}{c} \swarrow \uparrow \quad \downarrow \\ | \\ \downarrow \\ | \\ \downarrow \end{array} + \begin{array}{c} \downarrow \\ | \\ \downarrow \\ | \\ \downarrow \end{array}$$





# The Wave Operator

$$H_0|\alpha\rangle = E_0|\alpha\rangle$$

$$E_0 = \sum_{i=1}^N \varepsilon_i$$

$$\Psi_0 = |\alpha\rangle$$

$$|\alpha\rangle = |\{\varphi_i\varphi_j\varphi_k \dots\}\rangle$$

$$\Psi_0 = P\Psi$$

$$H\Psi = E\Psi$$

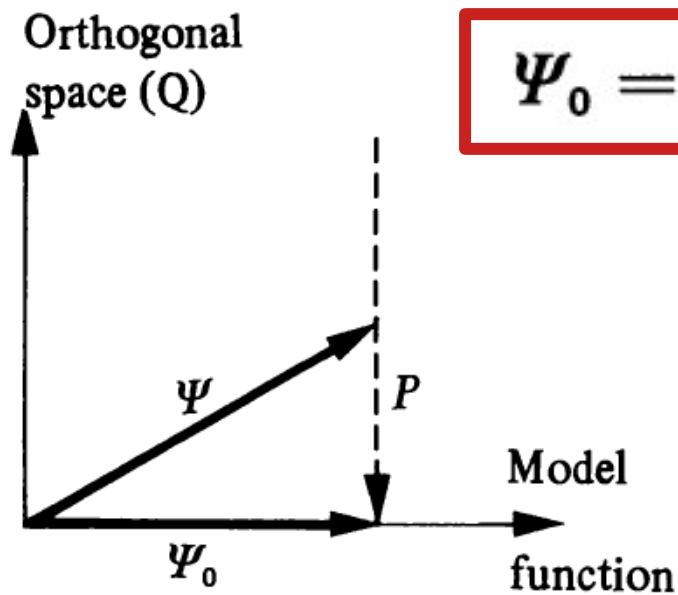
$$P = |\alpha\rangle\langle\alpha|$$

$$Q = \sum_{\beta \neq \alpha} |\beta\rangle\langle\beta| = \mathbf{1} - P$$

$$\Psi = \Omega\Psi_0$$

$$(\Omega - 1)\Psi_0 = \Psi - P\Psi = Q\Psi.$$

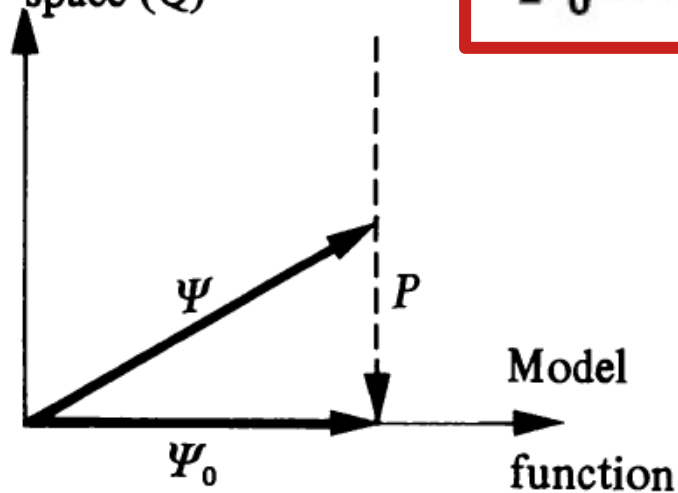
# The Wave Operator



**Fig. 9.1.** Simple illustration of the wave operator (S projects out of any function the component along the generates the exact wave function by operating on S

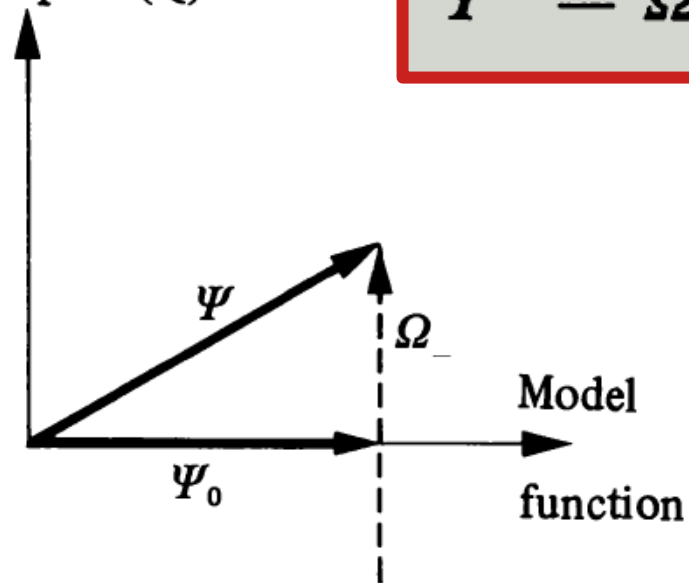
# The Wave Operator

Orthogonal  
space (Q)



$$\Psi_0 = P\Psi$$

Orthogonal  
space (Q)



$$\Psi = \Omega\Psi_0$$

**Fig. 9.1.** Simple illustration of the wave operator ( $\Omega_E$ ) and the projection operator ( $P$ ).  $P$  projects out of any function the component along the model function  $\Psi_0$ , and the wave operator generates the exact wave function by operating on  $\Psi_0$

## The Generalized Bloch Equation

$$H\Psi^a = E^a\Psi^a,$$

$$(E^a - H_0)\Psi_0^a = PV\Psi^a$$

which we rewrite as

$$(E^a - H_0)\Psi^a = V\Psi^a,$$

$a$  = Ground Electronic State

We operate on this equation from the left first with  $P = |\alpha\rangle\langle\alpha|$

## The Generalized Bloch Equation

$$H\Psi^a = E^a\Psi^a,$$

$$(E^a - H_0)\Psi_0^a = PV\Psi^a$$

which we rewrite as

and then with  $\Omega$ ,

$$(E^a - H_0)\Psi^a = V\Psi^a,$$

$$E^a\Psi^a - \Omega H_0\Psi_0^a = \Omega PV\Omega\Psi_0^a,$$

$a$  = Ground Electronic State

$$\Psi^a = \Omega\Psi_0^a$$

$$(\Omega H_0 - H_0\Omega)\Psi_0^a = (V\Omega - \Omega PV\Omega)\Psi_0^a.$$

$$[\Omega, H_0] P = V\Omega P - \Omega PV\Omega P.$$

## The Generalized Bloch Equation

Perturbative  
Expansion

$$[\Omega, H_0] P = V\Omega P - \Omega P V \Omega P .$$

$$\Omega = 1 + \Omega^{(1)} + \Omega^{(2)} + \dots$$

$$[\Omega^{(1)}, H_0] P = QVP$$

$$[\Omega^{(2)}, H_0] P = QV\Omega^{(1)} P - \Omega^{(1)} PVP$$

$$[\Omega^{(3)}, H_0] P = QV\Omega^{(2)} P - \Omega^{(2)} PVP - \Omega^{(1)} PV\Omega^{(1)} P$$

# The Generalized Bloch Equation

Perturbative  
Expansion

$$[\Omega, H_0] P = V\Omega P - \Omega P V \Omega P .$$

$$[\Omega^{(n)}, H_0] P = QV\Omega^{(n-1)} P - \sum_{m=1}^{n-1} \Omega^{(n-m)} P V \Omega^{(m-1)} P .$$

$$[\Omega^{(1)}, H_0] P = QVP$$

$$[\Omega^{(2)}, H_0] P = QV\Omega^{(1)} P - \Omega^{(1)} P V P$$

$$[\Omega^{(3)}, H_0] P = QV\Omega^{(2)} P - \Omega^{(2)} P V P - \Omega^{(1)} P V \Omega^{(1)} P$$

## The Generalized Bloch Equation

Perturbative  
Expansion

$$[\Omega, H_0] P = V\Omega P - \Omega P V \Omega P .$$

$$[\Omega^{(n)}, H_0] P = Q V \Omega^{(n-1)} P - \sum_{m=1}^{n-1} \Omega^{(n-m)} P V \Omega^{(m-1)} P .$$

When the wave operator is known in a certain order, the energy can be obtained in the next higher order by means of (12.37)

$$E^{(n+1)} = \langle \alpha | (V_1 + V_2) \Omega^{(n)} | \alpha \rangle . \quad (12.53)$$